

Ikhtisar Dalam Angka

The Year in Numbers

Penawaran Umum / Public Offerings

	2001	2000
Total Penawaran Umum (Rp Triliun) Total Offerings of Stocks and Bonds (Rp Trillion)	8,17	24,98
Total Penawaran Umum (Jumlah) Total Public Offerings of Stocks and Bonds (Number of Issuer)	51	63
Penawaran Perdana Saham (Rp Triliun) Initial Public Offerings of Shares (Rp Trillion)	1,10	1,80
Penawaran Perdana Saham (Jumlah Emiten) Initial Public Offerings of Shares (Number of Issuer)	32	6
Penerbitan HMETD (Rp Triliun) Right Issuance (Rp Trillion)	4,19	17,57
Penerbitan HMETD (Jumlah Emiten) Right Issuance (Number of Issuer)	13	19
Obligasi/Obligasi Konversi (Rp Triliun) Bonds/Convertible Bonds (Rp Trillion)	2,88	5,613
Obligasi/Obligasi Konversi (Jumlah) Bonds/Convertible Bonds (Number of Issuer)	6	19

Reksa Dana / Investment Funds

	2001 (Nov)	2000
Total Nilai Kekayaan Bersih Reksa Dana (Rp Triliun) Net Asset Value of Investment Funds (Rp Trillion)	6.818	5.516
Reksa Dana (Jumlah) Investment Funds (Number)	109	94

Pasar Sekunder / Secondary Market

	2001	2000
Kapitalisasi Pasar BEJ (Rp Triliun) JSX Market Capitalization (Rp Trillion)	239,271	259,621
Perdagangan Tahunan BEJ (Rp Triliun) JSX Annual Trading (Rp Trillion)	97,52	122,775

Pasar Sekunder / Secondary Market

	2001	2000
Perdagangan Tahunan BES (Rp Triliun)		
SSX Annual Trading (Rp Trillion)	2,72	9,98
Nilai Perdagangan Investor Asing di Pasar Sekunder BEJ (% dari total)		
Trading done by Foreign Investors on JSX Secondary Market (% of total)	10,78	20,11
Perdagangan Perusahaan Efek Patungan di BEJ (% dari total - Rupiah)		
Trading done by Joint Venture Firms on JSX (% of total- Rupiah)	26,64	36,05
Perdagangan Perusahaan Efek Patungan di BES (% dari total - Rupiah)		
Trading done by Joint Venture Firms on SSX (% of total - Rupiah)	9,68	34,77
Pembelian Bersih oleh Investor Asing di Pasar Sekunder BEJ (Rp Triliun)		
Net Purchases done by Foreign Investors on JSX Secondary Market (Rp Trillion)	4,49	0,81
Jumlah Anggota BEJ		
Number of JSX Members	185	197
Jumlah Perusahaan Efek Patungan di BEJ		
Number of Joint Venture Members on JSX	28	39
Jumlah Perusahaan Efek Patungan di BES		
Number of Joint Venture Members on SSX	17	23
Nilai Rata-rata Perdagangan Perusahaan Efek Patungan di BEJ (Rp Miliar)		
Average Annual Trading Value - Joint Venture Members on JSX (Rp Billion)	1.855,77	2.270,00
Nilai Rata-rata Perdagangan Perusahaan Efek Lokal di BEJ (Rp Miliar)		
Average Annual Trading Value - Local Members on JSX (Rp - Billion)	911,36	993,80
Persentase dari Total (Rp) Nilai Perdagangan Tahunan oleh 20 Anggota Teraktif di BEJ		
Percentage of Total Annual Trading Value (Rp) done by 20 Most Active Members on JSX	52,00	51,48
Persentase dari 20 Anggota Teraktif yang Merupakan Perusahaan Lokal di BEJ		
Percentage of 20 Most Active Members of the JSX that were Local Firms	30,00	24,20
Nilai Rata - rata Perdagangan Tahunan Perusahaan Lokal yang termasuk 20 Besar di BEJ (Rp Miliar)		
Average Annual Trading Value of Local Members that are in Top Twenty Firms on JSX (Rp Billion)	4.867,31 11*)	5.401,00 11*)

*) Anggota/members

Pasar Sekunder / Secondary Market

	2001	2000
Nilai Rata-rata Perdagangan Tahunan dari Perusahaan Patungan yang termasuk 20 Perusahaan Teraktif - BEJ (Rp Miliar) Average Annual Trading Value of Joint-Venture Members on JSX that are in Top Twenty Firms (Rp Billion)	5.285,89 8*)	7.444,99 9*)
Perubahan Harga Rata-rata/Indeks BEJ (%) Average Price Change of JSX Index (%)	5,83	38,50

Penegakan Hukum / Enforcement Actions

	2001	2000
Sanksi-sanksi terhadap Emiten Sanction on Issuers		
Denda atas Keterlambatan Penyampaian Laporan Issuers Fined for Late Reporting on	130	233
Laporan Keuangan Tahunan Annual Financial Statements	68	92
Laporan Keuangan Tengah Tahunan Semi-Annual Financial Statements	21	14
Laporan Kejadian Penting Material Events	-	-
Laporan Realisasi Penggunaan Dana Hasil Penawaran Umum Realitation of Public Offering Proceed	14	29
Laporan Penjatahan Saham & Audit Penawaran Tender Audit on Allotment and Tender Offer	1	2
Laporan Tahunan Annual Report	26	96
Denda yang dikenakan terhadap Emiten (Rp Miliar) Fines Imposed on Issuers (Rp Billion)	2,745	13,236

Sanksi-sanksi Terhadap Perusahaan Efek Sanction on Securities Companies

Banyaknya denda yang dikenakan terhadap Perusahaan Efek akibat keterlambatan menyampaikan laporan Securities Companies Fined for Late Reporting	12	45
- Laporan Modal Kerja Bersih Disesuaikan Net Adjusted Working Capital	-	22
- Laporan Keuangan Tahunan Annual Financial Statements	-	-
- Laporan Kegiatan Bulanan Manajer Investasi Reports of Monthly Activities of Investment Managers	12	23

*) Anggota / members

Upaya Penegakan Hukum di Pasar Modal
Securities Market Enforcement Actions

	2001	2000
Denda yang dibebankan kepada Perusahaan Efek (Rp Miliar) Fines Imposed on Securities Companies (Rp Billion)	0,008	0.145
Izin Perusahaan Efek yang Dibekukan Sementara Securities Company Licences Suspended	11	4
Izin Perusahaan Efek yang Dicabut Securities Company Licences Revoked	5	22
Sanksi-sanksi terhadap Wakil Perusahaan Efek Sanction on Securities Companies Representative		
Izin Wakil Perusahaan Efek yang Dibekukan Sementara Securities Company Representative Licences Suspended	2	4
Izin Wakil Perusahaan Efek yang Dicabut Securities Company Representative Licences Revoked	-	-
Sanksi-sanksi terhadap Biro Administrasi Efek Sanction on Securities Administration Agencies		
Denda yang dikenakan terhadap Biro Administrasi Efek Securities Administration Agencies Fined For Late Reporting	-	9
Laporan Keuangan Tahunan Annual Financial Statements	3	3
Laporan Operasional Bulanan Monthly Operational Reports	2	1
Laporan Operasional Tahunan Emiten Yang Menyelenggarakan Administrasi Efek Sendiri Report of Annual Operations of Issuers Performing Securities Administration	3	7
Denda yang dibebankan pada Biro Administrasi Efek (Rp Miliar) Fines Imposed on Securities Administration Agencies (Rp Billion)	0,006	0,008
Izin Biro Admintrasi Efek yang Dicabut Securities Administration Agency Licences Revoked	-	-
Sanksi-sanksi terhadap Bank Kustodian Sanction on Custodian Bank		
Laporan Operasional Bulanan Monthly Operational Reports	1	-
Laporan Keuangan Tahunan Annual Financial Statements	-	5

Perizinan, Persetujuan, dan Pendaftaran
Licensing, Approvals, and Registrations

	2001	2000
Perusahaan Efek Securities Companies		
Izin Perusahaan Efek (jumlah) Securities Companies Licensed (number)	203	204
Perusahaan Patungan (%) Securities Companies that are joint venture (%)	18,09	18,14
Perusahaan Efek sebagai Penjamin Emisi Efek Securities Companies Licensed as Underwriters	116	115
Perusahaan Efek sebagai Perantara Pedagang Efek Securities Companies Licensed as Broker-Dealers	189	190
Perusahaan Efek sebagai Manajer Investasi Securities Companies Licensed as Investment Managers	79	71
Wakil Perusahaan Efek Securities Companies Representatives		
Wakil Perantara Pedagang Efek (jumlah) Broker-Dealer (numbers)	3.197	2.560
Wakil Penjamin Emisi Efek (jumlah) Underwriters (numbers)	1.289	1.165
Wakil Manajer Investasi (jumlah) Investment Managers (numbers)	970	765
Wakil Agen Penjual Efek Reksa Dana Investment Fund Selling Agents	1.132	573
Lembaga Pendukung / Supporting Institutions		
	2001	2000
Bank Kustodian (jumlah) Custodian Banks Approved (number)	20	20
Biro Administrasi Efek (jumlah) Securities Administration Agencies Licensed (number)	13	13
Wali Amanat (jumlah) Trust Agents Registered (Number)	14	15

SRO / Self Regulating Organizations

	2001	2000
Izin Bursa Efek (jumlah) Securities Exchanges Licensed (number)	2	2
Izin Lembaga Kliring dan Penjaminan (jumlah) Clearing Guarantee Comporations Licensed (number)	1	1
Izin Lembaga Penyelesaian dan Penyimpanan (jumlah) Central Depositories Licensed (number)	1	1

Profesi Penunjang / Supporting Professionals

	2001	2000
Rekan dari kantor Akuntan (jumlah) Accounting Partners Registered (number)	274	256
Kantor Akuntan (jumlah) Accounting Offices Registered (number)	162	153
Perusahaan Penilai (jumlah) Appraisal Firms Registered (number)	96	89
Rekan dari Konsultan Hukum (jumlah) Law Partners Registered (number)	408	338
Konsultan Hukum (jumlah) Law Firms Registered (number)	197	167
Notaris Publik Civil Law Notaries Registered	440	303

Pegawai Bapepam / Bapepam Staffing

	2001	2000
Total Pegawai / Total Staff	446	453
Pegawai Tingkat Pendidikan SMA ke bawah Staff with High School Graduation or Lower	131	134
Pegawai Tingkat Pendidikan Diploma Staff with College Diploma	52	66
Pegawai Tingkat Pendidikan Perguruan Tinggi/S1 Staff with Undergraduate Degree	198	194
Pegawai Tingkat Pendidikan Master/S2 Staff with Postgraduate Degree	65	59

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Kalender Peristiwa Penting Tahun 2001 Calendar of Important Events During 2001

Tanggal / Date	Peristiwa / Events
05 - 02 - 2001	<p>Siaran Pers tentang Hasil Pemeriksaan terhadap 2 (dua) emiten, 4 (empat) Perusahaan Efek dan 2 (dua) pihak yang terkena kasus.</p> <p>Press Conference concerning Investigation Result of two (2) issuers, four (4) Securities Companies, and two (2) parties involved.</p>
20 - 02 - 2001	<p>Bapepam mengeluarkan Peraturan Baru berupa Penyempurnaan Peraturan Nomor IX.E.2 tentang Transaksi Material dan Perubahan Kegiatan Usaha Utama.</p> <p>Bapepam Revised Rule No. IX.E.2 concerning Material Transaction and Shifting Core Business.</p>
23 - 03 - 2001	<p>Siaran Pers tentang Hasil Pemeriksaan Terhadap 6 (enam) Emiten dan 1 (satu) Perusahaan Efek yang terkena kasus serta Penyempurnaan Peraturan Nomor IX.D.1. tentang Hak Memesan Efek Terlebih Dahulu.</p> <p>Press Conference concerning Investigation Result of six (6) Issuers and one (1) Securities Company involved, and also Revision of Rule No. IX.D.1 concerning Pre-Emptive Rights.</p>
28 - 03 - 2001	<p>Lokakarya Rancangan Perubahan Undang-Undang Pasar Modal di Hotel Regent, Jakarta, atas kerjasama Bapepam, BEJ, Program Pascasarjana Fakultas Hukum UI dan University of South Carolina.</p> <p>Workshop for Capital Market Law Draft held in Regent Hotel, Jakarta, in cooperation of Capital Market Supervisory Agency (CMSA), JSX, Law Master Program of Law Faculty of University of Indonesia, and University of South Carolina.</p>
02 - 04 - 2001	<p>Penandatanganan Perjanjian Kerjasama Baru Pengelolaan Pusat Referensi Pasar Modal antara Bapepam - SRO, di PRPM, Gedung Bursa Efek Jakarta, Jakarta.</p> <p>Signing of the new Memorandum of Understanding concerning Capital Market Reference Center Management between CMSA and SROs at CMRC room, JSX building, Jakarta.</p>
26 - 04 - 2001	<p>Siaran Pers tentang Pengumuman Kasus 2 (dua) Emiten dan 2 (dua) Perusahaan efek yang terkena kasus.</p> <p>Press Conference concerning Announcement of Case which Involved two (2) Issuers, and two (2) Securities Companies.</p>
03 - 05 - 2001	<p>Ceramah Pembekalan Akhir Pendidikan Siswa Diklat PPNS Bapepam Tahun 2001.</p> <p>A final lecture at Bapepam's Civil Investigator Short Course year 2001.</p>
28 - 05 - 2001	<p>Lokakarya RUU LPJK diselenggarakan oleh Tim RUU LPJK.</p> <p>Workshop of Law Draft of Finacial Services Supervising Institution held by Law Drafting</p>

Kalender Peristiwa Penting Tahun 2001
 Calendar of Important Events During 2001

Tanggal / Date	Peristiwa / Events
29 - 05 - 2001	Presentase Good Corporate Governance oleh Bernard Canody (Project Leader Corporate Governance Reform) dan Kartini Muljadi & Husein Wiradinata (Anggota Komite Nasional Kebijakan Corporate Governance) di Bapepam. Presentation of Good Corporate Governance by Bernard Canody (Project Leader Corporate Governance Reform) and Kartini Muljadi & Husein Wiradinata (A Member of National Committee for Corporate Governance Policy) held in Bapepam.
17 - 07 - 2001	Presentasi Futures mengenai Derivatif Efek oleh Jimmy Nyo (Ketua Asosiasi Analis Efek Indonesia), di Bapepam. Presentation of Futures concerning Securities Derivatives by Jimmy Nyo (Chief of Indonesian Securities Analyst Association).
19 - 07 - 2001 & 03 - 08 - 2001	Konperensi Pers tentang Kasus Perdagangan Saham BCA oleh Ketua Bapepam di Bapepam Press Conference concerning A Case of BCA Shares Trading led by Chairman of CMSA held in Bapepam.
13 - 08 - 2001	Konperensi Pers dalam Rangka HUT Pasar Modal dengan tema "Dengan Good Corporate Governance Kita Wujudkan Pasar Modal Indonesia yang Tangguh, Bertaraf Internasional dan Berdaya Saing Global" di Bapepam. Press Conference in connection with Celebration of Capital Market Reactivation entitled "With Good Corporate Governance, We Create a World Class Competitive Capital Market of Indonesia" held in CMSA.
10 - 08 - 2001	Konferensi Pers : HUT 23 Tahun Diaktifkannya Kembali Pasar Modal Indonesia Press Conference on the occasion of 23 rd anniversary of Indonesian Capital Market.
23 - 08 - 2001	Siaran Pers Bapepam tentang Pengenaan Sanksi Administratif terhadap 9 (sembilan) Manajer Investasi berupa Kegiatan Usaha sebagai Manajer Investasi dan penjelasan tentang kasus PT Broadband Multimedia Tbk. Press Conference concerning Administrative Sanction Imposed to Nine (9) Investment Managers in form of Business Activities as Investment Manager and Explanation concerning A Case of PT Broadband Multimedia Tbk.
05 - 09 - 2001	Presentase Perkembangan Pasar Modal Indonesia oleh Hartojo Wignyowijoto (Chairman & Presdir PT Aspecindo Kreasi) di Bapepam. Presentation of Indonesian Capital Market Development by Hartojo Wignyowijoyo (Chairman & President Director of PT Aspecindo Kreasi) held in CMSA.
16 - 10 - 2001	Ceramah bagi wartawan tentang "Ketentuan dan Tata Cara Investigasi di Pasar Modal" oleh Abraham Bastari, Karo PP di Gedung BEJ, Jakarta. Lecture for journalists entitled "Rules and Procedures in Capital Market Investigation" by Abraham Bastari, Director of Enforcement Bureau of CMSA held in JSX building, Jakarta.
17 - 10 - 2001	Presentase Teknik dan Metode Pemeringkat Efek Bersifat Hutang di Indonesia oleh PT PEFINDO di Bapepam. Presentation of Technique and Method of Debt Securities Rating in Indonesia by PT PEFINDO held in CMSA.
27 - 12 - 2001	Konperensi Pers Akhir Tahun 2001. 2001 Year End Press Conference.

Lampiran 2 / Appendix 2

Daftar Pemeriksaan Yang Diselesaikan Bapepam Tahun 2001

List of Bapepam Accomplished Investigation in Year 20001

No	Kasus Case	Keterangan Kasus Description of Case
1.	PT. Asuransi Bina Dana Artha Tbk.	<p>Pelanggaran peraturan Benturan Kepentingan Transaksi Tertentu, yakni memberikan pinjaman kepada perusahaan terafiliasi. Manajemen dikenakan sanksi denda, Perusahaan diwajibkan menyelenggarakan RUPS dan membayar biaya registrasi saham dalam rangka scripless trading.</p> <p>Violation of Rule No. IX.E.1 concerning Conflict of interest on certain transactions. The company provided debt facilities to affiliated party without following the procedures stated in Rule No. IX.E.1. The company's management was imposed with fines and the company was required to hold a shareholder general meeting to inform shareholders regarding the transaction. In addition, the company was asked to pay its securities registration in scripless trading.</p>
2.	PT. Bank Panin Tbk.	<p>Pelanggaran Peraturan tentang Keterbukaan Informasi Pemegang Saham Tertentu oleh pemegang saham utama. PSU dikenakan sanksi denda.</p> <p>Violation of Rule No. X.M.1 concerning disclosure requirement for certain shareholders, which in this case was related to the major shareholders. Those persons were imposed with fines.</p>
3.	PT. Bentoel Int'l Investama Tbk.	<p>Ditutup demi hukum karena informasi yang diduga melanggar keterbukaan informasi (sehingga harus diumumkan kepada publik) ternyata bukan termasuk dalam pengertian hal-hal yang berkaitan dengan keterbukaan informasi.</p> <p>The company was suspected to violate Rule No. X.K.1 concerning disclosure of information. However, the case was legally closed since the undisclosed information is not specifically listed in the Rule No. X.K.1.</p>
4.	PT. Indocopper Investama Tbk.	<p>Pelanggaran peraturan Benturan Kepentingan Transaksi Tertentu, yakni memberikan pinjaman kepada pihak yang memiliki hubungan istimewa. Manajemen dikenakan sanksi denda, Perusahaan diwajibkan menyelenggarakan RUPS dan membayar biaya registrasi saham dalam rangka scripless trading.</p> <p>Violation of Rule No. IX.E.1 concerning Conflict of interest on certain transactions. The company provided debt facilities to affiliated party without following the procedures stated in Rule No. IX.E.1. The company's management was imposed with fines and the company was required to hold a shareholder general meeting to inform shareholders regarding the transaction. In addition, the company was asked to pay for its securities registration in scripless trading.</p>

Daftar Pemeriksaan Yang Diselesaikan Bapepam Tahun 2001
 List of Bapepam Accomplished Investigation in Year 20001

No	Kasus Case	Keterangan Kasus Description of Case
5.	PT. Suparma Tbk.	<p>Pelanggaran peraturan Penambahan Modal Tanpa Hak Memesan Efek Terlebih Dahulu dan peraturan tentang Pokok-pokok Anggaran Dasar. Perusahaan, auditor perusahaan dan notaris yang mengesahkan hasil RUPS dikenakan sanksi peringatan tertulis.</p> <p>Violation of Rule No. IX.D.4 concerning capital increases without pre-emptive rights and Rule No. IX.J.1 concerning Articles of Association of Companies conducting Public Offering and Public Companies. The company, company auditors, and the notary who signed the result of shareholder meeting were imposed with written admonitions.</p>
6.	PT. Sekar Bumi Tbk. & PT. Sekar Laut Tbk.	<p>Pelanggaran peraturan Benturan Kepentingan Transaksi Tertentu, yakni memberikan fasilitas pinjaman dan transaksi pembelian tanah dengan perusahaan terafiliasi. Perusahaan dikenakan sanksi denda, kewajiban menyelenggarakan RUPS dan membayar biaya registrasi saham dalam rangka scripless trading.</p> <p>Violation of Rule No. IX.E.1 concerning conflict of interest on certain transactions. The company provided debt and land purchases facilities to affiliated party without following the procedures stated in Rule No. IX.E.1. The company's management was imposed with fines and the company was required to hold a shareholder general meeting to inform shareholders regarding the transaction. In addition, the company was asked to pay for and paid its securities registration in scripless trading.</p>
7.	PT. Panca Overseas Finance Tbk.	<p>Pelanggaran Peraturan keterbukaan informasi mengenai kepailitan dan keterbukaan informasi yang harus segera diumumkan kepada publik. Disamping itu terdapat dugaan pelanggaran Pasal 107 UUPM. Perusahaan dikenakan sanksi denda. Untuk melakukan penyidikan atas tindak pidana di bidang Pasar Modal, Bapepam masih menunggu hasil penyidikan yang dilakukan oleh pihak POLRI.</p> <p>Violation of Rule No. X.K.1 concerning disclosure of information that must be made public immediately and Rule No. X.K.5 concerning disclosure of information by Issuers or public companies regarding bankruptcy. Beside that, the company was suspected to have violated Capital Market Law No. 8 Year 1995 Article 107. The company was imposed with fines. To follow up the investigation upon criminal provision in capital market, Bapepam is still waiting for investigation result by the Police.</p>

Daftar Pemeriksaan Yang Diselesaikan Bapepam Tahun 2001
 List of Bapepam Accomplished Investigation in Year 20001

No	Kasus Case	Keterangan Kasus Description of Case
8.	PT. Medco Energi Internasional Tbk.	<p>Pelanggaran peraturan Benturan Kepentingan Transaksi Tertentu, yakni memberikan pinjaman kepada pihak yang memiliki hubungan istimewa. Manajemen dikenakan sanksi denda, Perusahaan diwajibkan menyelenggarakan RUPS dan membayar biaya registrasi saham dalam rangka scripless trading. Akuntan Perseroan dikenakan sanksi Peringatan tertulis.</p> <p>Violaion of Rule No. IX.E.1 concerning Conflict of interest on certain transactions. The company provided debt facilities to affiliated party without following the procedures stated in Rule No. IX.E.1. The company's management was imposed with fines and the company was required to hold a shareholder general meeting to inform shaeholders regarding the transaction. In addition, the company was asked to pay for its securities registration in scripless trading. Further, the company's accountant was imposed with written admonition.</p>
9.	PT. Putra Surya Perkasa Tbk.	<p>Pelanggaran peraturan Benturan Kepentingan, Keterbukaan Informasi Kepailitan, Penyajian Laporan Keuangan, Keterbukaan Informasi Yang Harus Segera Diumumkan Kepada Publik. Perusahaan dan manajemen dikenakan sanksi denda, kewajiban menyelenggarakan RUPS dan menyajikan kembali laporan keuangan serta membayar biaya registrasi saham dalam rangka scripless trading.</p> <p>Violation of Rule No. IX.E.1 concerning Conflict of interest on certain transactions, Rule No. X.K.5 concerning disclosure of information by Issuers or public companies regarding bankruptcy, Rule No. VIII.G.7 concerning guidelines for the preparation of financial statement, and Rule No. X.K.1 concerning disclosure of information that must be made public immediately. The company and its management were imposed with fines, required to hold shareholder meeting and represent the financial statement, as well as to pay its securities registration in scripless trading.</p>
10.	PT. Barito Pacific Timber Tbk.	<p>Pelanggaran peraturan Benturan Kepentingan dan Penyajian Laporan Keuangan. Perusahaan dan manajemen dikenakan sanksi denda, kewajiban menyelenggarakan RUPS, kewajiban menyajikan kembali laporan keuangan dan membayar biaya registrasi saham dalam rangka scripless trading.</p> <p>Violation of Rule No. IX.E.1 concerning Conflict of interest on certain transactions and Rule No. VIII.G.7 concerning guidelines for the preparation of financial statement. The company and its management were imposed with fines, required to hold shareholder meeting and represent the financial statement, as well as pay its securities registration in scripless trading.</p>

Daftar Pemeriksaan Yang Diselesaikan Bapepam Tahun 2001
 List of Bapepam Accomplished Investigation in Year 20001

No	Kasus Case	Keterangan Kasus Description of Case
11	PT. Duta Kirana Finance Tbk.	<p>Pelanggaran peraturan Pengambilalihan Perusahaan Terbuka dan Keterbukaan Informasi Kepada Publik. Pengendali dan manajemen perusahaan tidak melaporkan adanya perubahan kepemilikan. Perusahaan dan manajemen dikenakan sanksi denda dan pihak yang mengambil-alih induk perusahaan dikenakan sanksi denda dan kewajiban tender offer.</p> <p>Violation of Rule No. IX.H.1 concerning Company Takeovers of Public Company and Rule No. X.K.1 concerning disclosure of information that must be made public immediately. Company controller and management did not report substantial change in ownership. The company and its management were imposed with fines and the persons who took over the holding company were imposed with fines and required to conduct tender offer.</p>
12.	PT. Indah Kiat Pulp & Paper Tbk., PT. Pabrik kertas Tjiwi Kimia Tbk., PT. Pindo Deli Pulp & Paper Mills Tbk., PT. Lontar Papyrus Pulp & Paper Industry Tbk.	<p>Keempat perusahaan, yang berada dibawah payung usaha Sinar Mas Group, telah melakukan beberapa Corporate Action yang berpotensi merugikan perusahaan. Tindakan tersebut dapat digolongkan sebagai tindakan manajemen yang tidak sesuai dengan prinsip-prinsip "Good Corporate Governance". Perusahaan dan manajemen keempat perusahaan dikenakan sanksi denda.</p> <p>These four companies under Sinar Mas Group had done some corporate actions that potentially damage the firm. Those actions may be considered as managerial actions, which are not in accordance with good corporate governance principles, the company and its management, were imposed with fines.</p>
13.	PT. Tri Polyta Indonesia Tbk.	<p>Pelanggaran peraturan Keterbukaan Informasi Pemegang Saham Tertentu dan Pengambil-alihan Perusahaan Terbuka. Para pihak yang melakukan pembelian dan penjualan dikenakan sanksi denda.</p> <p>Violation of Rule No. No. X.M.1 concerning disclosure requirement for certain shareholders and Rule No. IX.H.1 concerning Company Takeovers. Persons who were involved in the sells and purchases of the shares were imposed with fines.</p>

Daftar Pemeriksaan Yang Diselesaikan Bapepam Tahun 2001
 List of Bapepam Accomplished Investigation in Year 20001

No	Kasus Case	Keterangan Kasus Description of Case
14.	PT. Bank Unibank Tbk. (BBKU)	<p>Pelanggaran peraturan Keterbukaan Informasi Kepada Publik berkaitan dengan status Bank Dalam Pengawasan Khusus BI. Disamping itu mantan manajemen bank diduga telah melakukan tindak pidana di bidang pasar modal. Kasus ini ditingkatkan ke tingkat Penyidikan.</p> <p>Violation of Rule No. X.K.1 concerning disclosure of information that must be made public immediately, in relation to its status as a bank under special supervision of BI. Beside that, its management was suspected to have done a capital market crime. This case was extended from investigation level to criminal investigation level.</p>
15.	PT. Jaka Artha Graha Tbk.	<p>Pelanggaran peraturan Keterbukaan Informasi Kepada Publik berkaitan dengan pergantian auditor dan Peraturan tentang Transaksi Material dan Perubahan Kegiatan Usaha Utama. Perusahaan dan manajemen dikenakan sanksi denda.</p> <p>Violation of Rule No. X.K.1 concerning disclosure of information that must be made public immediately, which in this case is related to a change of company auditors. It also violated Rule No. IX.E.2 concerning material transactions and changes of main business activity. The company and its management were imposed with fines.</p>
16.	PT. Usaha Bersama Sekuritas	<p>PT Usaha Bersama Sekuritas diberikan sanksi penghentian sementara kegiatan usaha sebagai perantara pedagang Efek karena telah melanggar peraturan Bapepam Nomor V.D.3 tentang Pengendalian Interen dan Penyelenggaraan pembukuan oleh Perusahaan Efek.</p> <p>PT. Usaha Bersama Sekuritas was imposed with suspension of its business activity as Broker-Dealer due to violation of Rule No. V.D.3 concerning Internal Control and BookKeeping Record by Securities Company.</p>
17.	PT. GT Investama d/h PT Gajah Nusantara Sec	<p>PT GT Investama telah melanggar Pasal 41 UUPM berkaitan dengan komisi reksadana serta telah melakukan manipulasi pasar terhadap perdagangan saham PT Fajar Surya Wisesa Tbk, Manajemen PT GT Investama diberikan sanksi Pembekuan Sementara Izin sebagai Manajer Investasi dan Wakil Perantara Pedagang Efek.</p> <p>Violation of Article 41 of Capital Market Law concerning Investment Fund commision and market manipulation on share trading of PT Fajar Surya Wisesa Tbk. Management of PT GT Investama were imposed with suspension of their licenses as Investment Manager and Broker-Dealer esentatives.</p>

Daftar Pemeriksaan Yang Diselesaikan Bapepam Tahun 2001
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No	Kasus Case	Keterangan Kasus Description of Case
18.	Perdagangan Saham PT Langgeng Makmur Plastic Industry Tbk & Pudjiadi & Sons Estate Tbk (LMPI & PNSE) The trading of PT Langgeng Makmur Plastic Industry Tbk., PT Pudjiadi & Sons Estate Tbk (LMPI & PNSE) shares	Dua pihak yang terbukti melakukan manipulasi pasar pada perdagangan saham LMPI dan PNSE diberikan peringatan tertulis. Two parties violating capital market law of market manipulation of LMPI and PNSE shares trading were imposed with written admonitions.
19.	Perdagangan Saham PT Surya Hidup Satwa Tbk (SHSA) The Trading of PT Surya Hidup Satwa Tbk shares (SHSA)	Dua pihak yang terbukti melakukan manipulasi pasar pada perdagangan saham SHSA diberikan peringatan tertulis. Two parties violating capital market law of market manipulation of SHSA shares trading were imposed with written admonitions.
20.	Perdagangan Saham PT Argha Karya Prima Industri Tbk (AKPI) The Trading of PT Argha Karya Prima Industri Tbk shares (AKPI)	Satu pihak yang terbukti melakukan manipulasi pasar pada perdagangan saham AKPI diberikan peringatan tertulis. One party violating capital market law of market manipulation of AKPI shares trading was imposed with written admonition.
21.	Perdagangan saham PT Ades Alfindo Putrasetia Tbk (ADES) The Trading of PT Ades Alfindo Putrasetia Tbk shares (ADES)	Manajemen PT Ades Alfindo Putrasetia Tbk, didenda untuk membayar uang kepada Kas Negara karena terbukti telah melakukan transaksi orang dalam terhadap saham ADES serta dua pihak yang terbukti melanggar peraturan Bapepam tentang Keterbukaan Informasi Pemegang saham Tertentu didenda untuk membayar uang kepada Kas Negara. Management of PT Ades Alfindo Putrasetia Tbk, was imposed with fines due to insider trading on shares of ADES. Two parties that violated Bapepam's Rule No. X.M.1 concerning Information Disclosure on Certain Transactions were imposed with fines.
22.	Pemalsuan Saham PT Tjiwi Kimia Tbk The Counterfeiting of PT Tjiwi Kimia Tbk shares	Memberikan sanksi peringatan tertulis kepada PT Artha Pacific Securities dan PT Danpac Sekuritas dan menyerahkan kasus Pemalsuan saham PT Tjiwi Kimia Tbk kepada pihak Kepolisian. PT Artha Pacific Securities and PT Danpac Sekuritas were imposed with written admonitions and the case was delivered to the National Police.

Daftar Pemeriksaan Yang Diselesaikan Bapepam Tahun 2001
 List of Bapepam Accomplished Investigation in Year 20001

No	Kasus Case	Keterangan Kasus Description of Case
23.	Wakil Perantara Pedagang Efek tanpa Izin Unlicensed Broker-Dealer Representative	Satu pihak yang terbukti melakukan kegiatan Wakil Perantara Pedagang Efek tanpa Izin diminta untuk menghentikan kegiatannya dan mengembalikan dana kepada nasabahnya serta sanksi peringatan tertulis kepada PT Ludlow Securities. One person has been proved violating capital market law for acting as an unlicensed broker dealer representative. He was asked to cancel his business activity and return funds collected from his clients. Bapepam also imposed written admonition to PT Ludlow Securities.
24.	PT Fander Investama	PT Fander Investama diminta untuk menghentikan kegiatannya sebagai Perusahaan Efek tanpa izin serta memberikan peringatan tertulis kepada Perusahaan Efek PT Binaartha parama dan PT Bintang Nusantara. PT Fander Investama has violated capital market law for acting as unlicensed securities company. Bapepam has cancelled its business as well as imposed written admonitions to PT Binaartha parama and PT Bintang Nusantara.
25	Perusahaan Efek Tanpa Izin Unlicensed Securities Companies Transglobal Consultants Limited, Wizard Asset Investment Limited, Brooks Pearson Investment, PT Chindo Perkasa Lestari, PT Dexindo (5 kasus / cases)	Bapepam telah mengumumkan melalui media massa dan Website Bapepam kepada perusahaan-perusahaan tersebut sebagai Perusahaan Efek tanpa izin. These companies have acted as unlicensed securities companies. Bapepam has announced their invalid activities in mass media.
26.	Perdagangan saham PT Broadband Multimedia Tbk (KBLV) The trading of PT Broadband Multimedia Tbk. (KBLV) shares	PT Cahaya Mas Abadi Permai dan PT Lippo Asia dikenakan sanksi denda untuk membayar sejumlah uang kepada Kas Negara karena telah melanggar Peraturan Bapepam Nomor: X.M.1 tentang Keterbukaan Informasi Pemegang Saham Tertentu. PT Cahaya Mas Abadi Permai and PT Lippo Asia have been imposed with fines for violating Bapepam's Rule No. X.M.1 concerning Information Disclosure on Certain Transaction.
27.	Perdagangan saham PT Panin Bank Tbk. (PNBN) The trading of PT Panin Bank Tbk. (PNBN) shares	PT Panin Insurance dikenakan sanksi denda untuk membayar sejumlah uang kepada Kas Negara karena telah melanggar Peraturan Bapepam Nomor: X.M.1 tentang Keterbukaan Informasi Pemegang Saham Tertentu. PT Panin Insurance has been imposed with fines for violating Bapepam Rule's No. X.M.1 concerning Information Disclosure on Certain Transaction.

Daftar Pemeriksaan Yang Diselesaikan Bapepam Tahun 2001
 List of Bapepam Accomplished Investigation in Year 20001

No	Kasus Case	Keterangan Kasus Description of Case
28.	Perdagangan saham PT Bank BCA Tbk (BBCA) The trading of PT Bank BCA Tbk. (BBCA) shares	87 Perusahaan Efek dikenakan sanksi administratif karena terlibat dalam perdagangan saham BBCA di pasar segera Bursa Efek Jakarta yang menyebabkan harga saham BBCA menjadi tidak wajar. 87 securities companies have been involved in BBCA shares trading in immediate market of JSX which led to unusual trading price fluctuation. Those companies were imposed with administrative sanction.
29.	Perdagangan saham PT Asia Plast Industries Tbk. (APLI) The trading of PT Asia Plast Industries Tbk. (APLI) shares	Berdasarkan hasil pemeriksaan, perdagangan saham APLI pada bulan Mei 2000 diduga telah diperdagangkan oleh suatu kelompok terorganisasi sehingga diduga telah terjadi manipulasi pasar, berdasarkan hal tersebut Bapepam telah meningkatkan kasus dimaksud ke tahap penyidikan pada bulan April 2001 hingga saat ini masih dalam proses. Based on investigation, the trading of APLI shares in May 2000 was suspected to be done by an organized persons which led to an alleged market manipulation. Because of that reason, Bapepam then extended the case to criminal investigation level in April 2001 and it is still in process until now.